#### PATENT COOPERATION TREATY

### **PCT**

#### **NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

#### From the INTERNATIONAL BUREAU

To:

Commissioner
US Department of Commerce
United States Patent and Trademark
Office, PCT
2011 South Clark Place Room
CP2/5C24

Arlington, VA 22202

ETATS-UNIS D'AMERIQUE in its capacity as elected Office		
Applicant's or agent's file reference PDC/MIW21505		
Priority date (day/month/year) 05 July 1999 (05.07.99)		

1.	The designated Office is hereby notified of its election made:
	X in the demand filed with the International Preliminary Examining Authority on:
	05 February 2001 (05.02.01)
	in a notice effecting later election filed with the International Bureau on:
	**************************************
2.	The election X was was not
	made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland

**Authorized officer** 

S. Mafla

Telephone No.: (41-22) 338.83.38

Facsimile No.: (41-22) 740.14.35



(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference FOR FURTHER see Notification of Transmittal of International Section 1971 (Section 2019).						
PDC/MIW21505	20) as well as, where applicable, item 5 below.					
International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)				
PCT/IB 00/00241	PCT/IB 00/00241 29/02/2000 05/07/1999					
Applicant						
CANAL+ SOCIETE ANONYME et	al.					
This International Search Report has been according to Article 18. A copy is being tra	n prepared by this International Searching Auth ansmitted to the International Bureau.	nority and is transmitted to the applicant				
This International Search Report consists	of a total of 3 sheets.					
·	a copy of each prior art document cited in this	report.				
Basis of the report						
a. With regard to the language, the	international search was carried out on the bas ess otherwise indicated under this item.	sis of the international application in the				
the international search w Authority (Rule 23.1(b)).	as carried out on the basis of a translation of th	ne international application furnished to this				
b. With regard to any <b>nucleotide an</b> was carried out on the basis of the		ternational application, the international search				
l <u> </u>	nal application in written form.					
filed together with the inte	rnational application in computer readable form	1.				
furnished subsequently to	this Authority in written form.					
furnished subsequently to	this Authority in computer readble form.					
	sequently furnished written sequence listing do s filed has been furnished.	pes not go beyond the disclosure in the				
the statement that the info furnished	rmation recorded in computer readable form is	identical to the written sequence listing has been				
2. Certain claims were four	nd unsearchable (See Box I).					
3. Unity of Invention is lack	dng (see Box II).					
4. With regard to the <b>title</b> ,						
the text is approved as sul	omitted by the applicant.					
=	ned by this Authority to read as follows:					
·						
		•				
5. With regard to the <b>abstract</b> ,	•					
the text is approved as sul						
	hed, according to Rule 38.2(b), by this Authority date of mailing of this international search repo					
6. The figure of the <b>drawings</b> to be publi	shed with the abstract is Figure No.	5				
old X as suggested by the applic	ant.	None of the figures.				
because the applicant faile	ed to suggest a figure.					
because this figure better	characterizes the invention.					

## A. CLASSIFICATION OF SUBJECT MATTER IPC 7 H04L12/58

According to International Patent Classification (IPC) or to both national classification and IPC

#### **B. FIELDS SEARCHED**

 $\begin{array}{ll} \mbox{Minimum documentation searched (classification system followed by classification symbols)} \\ \mbox{IPC 7} & \mbox{H04L} \end{array}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DE 197 55 742 A (THOMSON BRANDT GMBH) 17 June 1999 (1999-06-17)	1,2,6, 10,12, 13,15, 19-22, 24,26, 30,33, 37-41, 44-50
Y	column 1, line 11 -column 2, line 30 column 3, line 8 -column 3, line 48	3,4,7,9, 11,16, 17,25, 29,31, 34,35,42 14,27, 28,32
	-/	

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
<ul> <li>Special categories of cited documents:</li> <li>"A" document defining the general state of the art which is not considered to be of particular relevance</li> <li>"E" earlier document but published on or after the international filling date</li> <li>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>"O" document referring to an oral disclosure, use, exhibition or other means</li> <li>"P" document published prior to the international filing date but later than the priority date claimed</li> </ul>	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
2 June 2000	28/06/2000
Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo nl, Fax: (+31–70) 340–3016	Siebel, C

Into nal Application No
PCT/IB 00/00241

	tion) DOCUMENTS CONSIDERED TO BE RELEVANT	<u></u>
ategory °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
	WO 97 12486 A (BOSTON TECH INC) 3 April 1997 (1997-04-03) page 14, line 33 -page 15, line 36	23
<b>'</b>	EP 0 639 918 A (NEWS DATACOM LTD) 22 February 1995 (1995-02-22) column 6, line 5 -column 6, line 39 claims 5,10	3,4,25, 42
۹ ا	C141m3 3,10	5,43
Υ	US 5 884 284 A (BROWN CHRISTOPHER ET AL) 16 March 1999 (1999-03-16) column 1, line 6 -column 2, line 45	7
Α	2, 1110	8
Y	EP 0 905 944 A (SIEMENS AG) 31 March 1999 (1999-03-31) claim 3	9,29
Y	EP 0 866 586 A (AT & T CORP) 23 September 1998 (1998-09-23) claims 1,4	11,31
Y	WOERNER A: "DVB-TRANSPORTSTROMUEBERWACHUNG IN VERTEILNETZWERKEN" FERNSEH UND KINOTECHNIK, DE, VDE VERLAG GMBH. BERLIN, vol. 52, no. 7, July 1998 (1998-07), pages 408-410, XP000862950 ISSN: 0015-0142	16,17, 34,35
1	page 406, line 1-29 	18,36
	•	

Information on patent family members

Intermal Application No
PCT/IB 00/00241

Patent document cited in search repor	t	Publication date	ſ	Patent family member(s)	Publication date
DE 19755742	Α	17-06-1999	NONE	=	<u>.</u> <u>.</u>
WO 9712486	Α	03-04-1997	AU	7246996 A	17-04-1997
			EP	0852880 A	15-07-1998
			JP	11512903 T	02-11-1999
			US	6006257 A	21-12-1999
EP 0639918	Α	22-02-1995	IL	106746 A	18-02-1997
			AU	681412 B	28-08-1997
			AU	7035794 A	02-03-1995
			CA	2130392 A	20-02-1995
			JP	7212328 A	11-08-1995
			US	5414773 A	09-05-1995
			US	5715315 A	03-02-1998
US 5884284	Α	16-03-1999	US	5696906 A	09-12-1997
EP 0905944	Α	31-03-1999	DE	19743363 A	08-04-1999
EP 0866586	A	23-09-1998	CA	2227729 A	13-09-1998
			JP	10275120 A	13-10-1998

ENT COOPERATION TREATY

#### From the INTERNATIONAL SEARCHING AUTHORITY

To: MATHYS & SQUIRE Attn. COZENS, P. 100 Grav's Inn Road

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION

London WC1X 8AL UNITED KINGDOM	(PCT Rule 44.1)
	Date of mailing (day/month/year) 28/06/2000
Applicant's or agent's file reference	FOR FURTHER ACTION
PDC/MIW21505	FOR FURTHER ACTION See paragraphs 1 and 4 below
International application No. PCT/IB 00/00241	International filing date (day/month/year) 29/02/2000
Applicant	
CANAL+ SOCIETE ANONYME et al.	
	ally 2 months from the date of transmittal of the stails, see the notes on the accompanying sheet.  Impanying sheet.  The Report will be established and that the declaration under
no decision has been made yet on the protest; the app	licant will be notified as soon as a decision is made.
4. Further action(s): The applicant is reminded of the following:	
Shortly after 18 months from the priority date, the international ap If the applicant wishes to avoid or postpone publication, a notice priority claim, must reach the International Bureau as provided completion of the technical preparations for international publica	of withdrawal of the international application, or of the in Rules 90 <i>bis</i> .1 and 90 <i>bis</i> .3, respectively, before the
Within 19 months from the priority date, a demand for internations wishes to postpone the entry into the national phase until 30 mo	
Within 20 months from the priority date, the applicant must perfor before all designated Offices which have not been elected in the priority date or could not be elected because they are not bound	e demand or in a later election within 19 months from the

Name and mailing address of the International Searching Authority

European Patent Office, P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

Authorized officer

Doreen Golze

FR 036851

## **PCT**

### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	FOR FURTHER see Notification of (Form PCT/ISA/2	of Transmittal of International Search Report (20) as well as, where applicable, item 5 below.
PDC/MIW21505 International application No.	International filing date (day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/IB 00/00241	29/02/2000	05/07/1999
Applicant		
CANAL - COCTETE ANONYME of	21	
CANAL+ SOCIETE ANONYME et	d1.	
This International Search Report has been according to Article 18. A copy is being tra	n prepared by this International Searching Aut ansmitted to the International Bureau.	hority and is transmitted to the applicant
This International Search Report consists  It is also accompanied by	of a total of Sheets. a copy of each prior art document cited in this	report.
1. Basis of the report		
a With regard to the language, the	international search was carried out on the ba ess otherwise indicated under this item.	sis of the international application in the
Authority (Rule 23.1(b)).	as carried out on the basis of a translation of t	
b. With regard to any nucleotide an was carried out on the basis of the	d/or amIno acId sequence disclosed in the in e sequence listing:	nternational application, the international search
contained in the internation	nal application in written form.	
السبا	rnational application in computer readable for	m.
	this Authority in written form.	
	this Authority in computer readble form.	lose not an havened the disclosure in the
international application a	sequently furnished written sequence listing o s filed has been furnished.	
the statement that the info furnished	rmation recorded in computer readable form	s identical to the written sequence listing has been
2. Certain claims were four	nd unsearchable (See Box I).	
3. Unity of invention is laci		
4. With regard to the title,  the text is approved as su	hmitted by the applicant	
]	hed by this Authority to read as follows:	
GIO (EXTINAD DECIT ESTABLE)	, <i></i>	
E Mith regard to the shares		
5. With regard to the abstract,  The text is approved as su	bmitted by the applicant.	· ·
the text has been establis	hed, according to Rule 38.2(b), by this Author date of mailing of this international search re	ity as it appears in Box III. The applicant may, port, submit comments to this Authority.
6. The figure of the drawlngs to be publi	shed with the abstract is Figure No.	5
X as suggested by the appli	cant.	None of the figures.
because the applicant faile		
because this figure better	characterizes the invention.	

al Application No PCT 00/00241

# A. CLASSIFICATION OF SUBJECT MATTER IPC 7 H04L12/58

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

 $\label{lem:minimum} \begin{tabular}{ll} \begin{tabular}{ll} Minimum documentation searched (classification system followed by classification symbols) \\ IPC 7 & H04L \\ \end{tabular}$ 

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to daim No.
х	DE 197 55 742 A (THOMSON BRANDT GMBH) 17 June 1999 (1999-06-17)	1,2,6, 10,12, 13,15, 19-22, 24,26, 30,33, 37-41, 44-50
Y A	column 1, line 11 -column 2, line 30 column 3, line 8 -column 3, line 48	3,4,7,9, 11,16, 17,25, 29,31, 34,35,42 -14,27, 28,32
	-/	20,32

Further documents are listed in the continuation of box C.	Patent family members are listed in annex.
<ul> <li>Special categories of cited documents:</li> <li>"A" document defining the general state of the art which is not considered to be of particular relevance</li> <li>"E" earlier document but published on or after the international filing date</li> <li>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>"O" document referring to an oral disclosure, use, exhibition or other means</li> <li>"P" document published prior to the international filing date but later than the priority date claimed</li> </ul>	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.  "&" document member of the same patent family
Date of the actual completion of the international search	Date of mailing of the international search report
2 June 2000	28/06/2000
Name and mailing address of the ISA	Authorized officer
European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo nl, Fax: (+31–70) 340–3016	FR 036853 Siebel, C

2

PC1 8 00/00241

		101910 00/09241
C.(Continu	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Х	WO 97 12486 A (BOSTON TECH INC) 3 April 1997 (1997-04-03) page 14, line 33 -page 15, line 36	23
Y	EP 0 639 918 A (NEWS DATACOM LTD) 22 February 1995 (1995-02-22) column 6, line 5 -column 6, line 39 claims 5,10	3,4,25, 42
Α	Claims 5,10	5,43
Y	US 5 884 284 A (BROWN CHRISTOPHER ET AL) 16 March 1999 (1999-03-16) column 1, line 6 -column 2, line 45	7
Α	Column 1, Time o Cordim 2, Time 43	8
Υ	EP 0 905 944 A (SIEMENS AG) 31 March 1999 (1999-03-31) claim 3	9,29
Y	EP 0 866 586 A (AT & T CORP) 23 September 1998 (1998-09-23) claims 1,4	11,31
Y	WOERNER A: "DVB-TRANSPORTSTROMUEBERWACHUNG IN VERTEILNETZWERKEN" FERNSEH UND KINOTECHNIK, DE, VDE VERLAG GMBH. BERLIN, vol. 52, no. 7, July 1998 (1998-07), pages 408-410, XP000862950 ISSN: 0015-0142	16,17, 34,35
A	page 406, line 1-29 	18,36
	FR 036854	

2

Informa on patent family members

PCT 18 00/00241

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
DE 19755742	Α	17-06-1999	NONE	
WO 9712486	A	03-04-1997	AU 7246996 AU 724690 AU 72460 AU	A 15-07-1998 T 02-11-1999
EP 0639918	A	22-02-1995	IL 106746 AU 681412 AU 7035794 CA 2130392 JP 7212328 US 5414773 US 5715315	B 28-08-1997 A 02-03-1995 A 20-02-1995 A 11-08-1995 A 09-05-1995
US 5884284	Α	16-03-1999	US 5696906	A 09-12-1997
EP 0905944	Α	31-03-1999	DE 19743363	A 08-04-1999
EP 0866586	Α	23-09-1998	CA 2227729 JP 10275120	

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

in these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

#### INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international policiation. Furthermore, it should be emphasized that provisional protection is available in some States only.

#### What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

#### When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

#### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been fis filed, see below.

#### How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

#### What documents must/may accompany the amendments?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

FR 036856

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

## The following examples illustrate the manner in which amendments must be explained in the accompanying letter:

- [Where originally there were 48 claims and after amendment of some claims there are 51]:
   "Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
- [Where originally there were 15 claims and after amendment of all claims there are 11]:
   "Claims 1 to 15 replaced by amended claims 1 to 11."
- [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:
   "Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or
   "Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
- 4. [Where various kinds of amendments are made]: "Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

#### "Statement under article 19(1)" (Rule 46.4)

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

#### It must be in the language in which the international appplication is to be published.

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

#### Consequence if a demand for international preliminary examination has already been filed

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

#### Consequence with regard to translation of the international application for entry into the national phase

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.

FR 036857

#### (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

#### (19) World Intellectual Property Organization International Bureau





#### (43) International Publication Date 11 January 2001 (11.01.2001)

#### **PCT**

#### (10) International Publication Number WO 01/03386 A1

(51) International Patent Classification7:

(21) International Application Number: PCT/IB00/00241

(22) International Filing Date: 29 February 2000 (29.02.2000)

H04L 12/58

(25) Filing Language:

English English

(26) Publication Language:

(30) Priority Data:

5 July 1999 (05.07.1999)

99401680.6 99401888.5

23 July 1999 (23.07.1999)

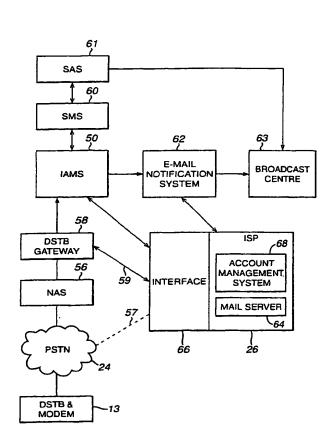
- (71) Applicant (for all designated States except US): CANAL+ SOCIETE ANONYME [FR/FR]; 85/89, quai André Citroën, F-75711 Paris Cedex 15 (FR).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): BAYASSI, Mulham

[FR/FR]; Canal+ Société Anonyme, 34, Place Raoul Dautry, F-75516 Paris Cedex 15 (FR).

- (74) Agent: COZENS, Paul, Dennis; Mathys & Squire, 101 Gray's Inn Road, London WCIX 8AL (GB).
- (81) Designated States (national): AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

[Continued on next page]

(54) Title: METHOD AND APPARATUS FOR USE WITH E-MAIL



(57) Abstract: A method of notifying a user of the receipt of an e-mail by a mail centre is described, the method comprising transmitting a notification message in a broadcast signal. Also described is a method of notifying a user of the receipt of e-mail by a mail centre, which e-mail is to be transmitted to the user via a first medium, the method comprising transmitting a notification message, indicating the receipt of e-mail by the mail centre, to the user via a second medium, different from the first medium. Corresponding apparatus, receiver/decoder and system are also described.

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WO 01/03386 A

### WO 01/03386 A1

Section 1



#### Published:

- With international search report.

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



A. CLASSIFICATION OF SUBJECT MATTER IPC 7 H04L12/58

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) IPC 7 H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

	ENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to daim No.
X	DE 197 55 742 A (THOMSON BRANDT GMBH) 17 June 1999 (1999-06-17)	1,2,6, 10,12, 13,15, 19-22, 24,26, 30,33, 37-41, 44-50
Y	column 1, line 11 -column 2, line 30 column 3, line 8 -column 3, line 48	3,4,7,9, 11,16, 17,25, 29,31, 34,35,42
Α	 -/	14,27, 28,32

X Further documents are listed in the continuation of box C.	χ Patent family members are listed in annex.
<ul> <li>Special categories of cited documents:</li> <li>"A" document defining the general state of the art which is not considered to be of particular relevance</li> <li>"E" earlier document but published on or after the international filing date</li> <li>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>"O" document referring to an oral disclosure, use, exhibition or other means</li> <li>"P" document published prior to the international filing date but later than the priority date claimed</li> </ul>	<ul> <li>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</li> <li>"&amp;" document member of the same patent family</li> </ul>
Date of the actual completion of the international search  2 June 2000	Date of mailing of the international search report  28/06/2000
Name and mailing address of the ISA  European Patent Office, P.B. 5818 Patentlaan 2  NL - 2280 HV Rijswijk  Tel. (+31-70) 340-2040, Tx. 31 651 epo rd,  Fax: (+31-70) 340-3016	Authorized officer Siebel, C



		FC1/1B 00/00241
	ation) DOCUMENTS CONSIDERED TO BE RELEVANT	
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 97 12486 A (BOSTON TECH INC) 3 April 1997 (1997-04-03) page 14, line 33 -page 15, line 36	23
Y	EP 0 639 918 A (NEWS DATACOM LTD) 22 February 1995 (1995-02-22) column 6, line 5 -column 6, line 39 claims 5,10	3,4,25, 42
Α	Claims 5,10	5,43
Y	US 5 884 284 A (BROWN CHRISTOPHER ET AL) 16 March 1999 (1999-03-16) column 1, line 6 -column 2, line 45	7
Α	2014min 1, 11116 0 0014min 2, 11116 43	8
Y	EP 0 905 944 A (SIEMENS AG) 31 March 1999 (1999-03-31) claim 3	9,29
Y	EP 0 866 586 A (AT & T CORP) 23 September 1998 (1998-09-23) claims 1,4	11,31
Y	WOERNER A: "DVB-TRANSPORTSTROMUEBERWACHUNG IN VERTEILNETZWERKEN" FERNSEH UND KINOTECHNIK, DE, VDE VERLAG GMBH. BERLIN, vol. 52, no. 7, July 1998 (1998-07), pages 408-410, XP000862950 ISSN: 0015-0142	16,17, 34,35
A	page 406, line 1-29 	18,36

Patent document cited in search report		Publication date	Patent family member(s)		Publication date		
DE	19755742	A	17-06-1999	NONE			<u> </u>
WO	9712486	A	03-04-1997	AU EP JP US	7246996 0852880 11512903 6006257	A T	17-04-1997 15-07-1998 02-11-1999 21-12-1999
EP	0639918	A	22-02-1995	IL AU CA JP US US	106746 681412 7035794 2130392 7212328 5414773 5715315	B A A A	18-02-1997 28-08-1997 02-03-1995 20-02-1995 11-08-1995 09-05-1995 03-02-1998
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## **PCT**

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### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's	or agent's file reference					
PDC/MPF/21505		FOR FURTHER ACTION	See Notification of Transmittal of International			
I DONVIETZ 1909			Preliminary Examination Report (Form PCT/IPEA/416)			
	al application No.	International filing date (day/month	/year) Priority date (day/month/year)			
PCT/IB0	0/00241	29/02/2000	05/07/1999			
	International Patent Classification (IPC) or national classification and IPC H04L12/58					
ļ						
Applicant						
CANAL+	CANAL+ SOCIETE ANONYME et al.					
1. This is and is	<ol> <li>This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</li> </ol>					
2. This F	REPORT consists of a total of	6 sheets, including this cover sh	eet.			
be	een amended and are the bas	by ANNEXES, i.e. sheets of the is for this report and/or sheets of 7 of the Administrative Instruction	e description, claims and/or drawings which have ontaining rectifications made before this Authority ons under the PCT).			
			,			
mese	annexes consist of a total of	sneets.				
3. This re	eport contains indications relat	ing to the following items:				
1	Basis of the report					
11	☐ Priority					
<b>III</b>	☐ Non-establishment of or	oinion with regard to novelty, inve	entive step and industrial applicability			
IV	☐ Lack of unity of invention		white stop and modernal applicability			
V	Reasoned statement uncitations and explanation	der Article 35(2) with regard to n	ovelty, inventive step or industrial applicability;			
VI	☐ Certain documents cited	t				
VII	Certain defects in the int	ernational application				
VIII	☑ Certain observations on	the international application				
Date of subn	nission of the demand	Date of co	Date of completion of this report			
05/02/200	1	24.10.200	1			
Name and m	nailing address of the international examining authority:	Authorize	d officer			
<u>)</u> ))	European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 6	Droneau	ı, S			
	Fax: +49 89 2399 - 4465	Telephone	No. +49 89 2399 7954			

# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/IB00/00241

#### I. Basis of the report

1.	the an	With regard to the <b>elements</b> of the international application (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)): Description, pages:							
	1-1	9	as originally filed						
	Cla	aims, No.:							
	1-5	60	as originally filed						
	Dra	awings, sheets:							
	1/5	-5/5	as originally filed						
		•							
2.	Wit lan	With regard to the <b>language</b> , all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.							
	The	ese elements were a	vailable or furnished to this Authority in the following language: , which is:						
		the language of a t	ranslation furnished for the purposes of the international search (under Rule 23.1(b)).						
		the language of pu	blication of the international application (under Rule 48.3(b)).						
		the language of a t 55.2 and/or 55.3).	ranslation furnished for the purposes of international preliminary examination (under Rule						
3.	With regard to any <b>nucleotide and/or amino acid sequence</b> disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:								
		contained in the int	ernational application in written form.						
		filed together with t	he international application in computer readable form.						
		furnished subseque	ently to this Authority in written form.						
		furnished subsequently to this Authority in computer readable form.							
		The statement that the international ap	the subsequently furnished written sequence listing does not go beyond the disclosure in plication as filed has been furnished.						
		The statement that listing has been fur	the information recorded in computer readable form is identical to the written sequence nished.						
4.	The	amendments have	resulted in the cancellation of:						
		the description,	pages:						
		the claims,	Nos.:						

#### INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

International application No. PCT/IB00/00241

		the drawings,	sheets:			
5.		This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):				
		(Any replacement sh report.)	eet containing such amendments must be referred to under item 1 and annexed to this			
6.	Add	litional observations, it	f necessary:			

- V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- 1. Statement

Novelty (N)

Yes: No:

Claims 2-18, 20, 21, 25-37, 40, 42-45, 47-50

Claims 1, 19, 22-24, 38, 39, 41, 46, 49, 50

Inventive step (IS)

Yes:

Claims

No:

Claims 1-50

Industrial applicability (IA)

Yes:

Claims 1-50

No: Claims

2. Citations and explanations see separate sheet

#### VII. Certain defects in the international application

The following defects in the form or contents of the international application have been noted: see separate sheet

#### VIII. Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made: see separate sheet

V.Reasoned statement under Article 35(2) with regard to novelty, inventive step and industrial applicability; citations and explanations supporting such statement

1

The following documents have been considered for the purposes of this report:

D1: DE 197 55 742 A D2: WO 97 12486 A

П

1a. The present broad formulation of independent method claims 1, 19 and 22 is such that their subject-matters can be read onto the prior art disclosure of Document D1.

Document D1 indeed already describes:

- a method of notifying a user of the receipt of an e-mail by a mail center, comprising a notification message (cf. D1, column 2 lines 1-7) in a broadcast signal (cf. D1, column 2 lines 14-17 "pager")
- a method of notifying a user of the receipt of an e-mail by a mail center, which e-mail is to be transmitted to the user via a first medium ("internet"), comprising transmitting a notification message, indicating the receipt of email by the mail center, to the user via a second medium, different from the first medium. (cf. D1, column 2, lines 14-17, "pager" or "Mobilfunktelefon")
- a method for transferring an e-mail from a mail center to a user, comprising transmitting at least part of the e-mail in the broadcast signal (cf. D1, column 2, lines 26-30)

As this document identically discloses all the features of independent claims 1, 19 and 22 the subject-matter of these claims lacks novelty and claims 1, 19 and 22 do not meet the requirements of Article 33(2) on the PCT.

2. The present broad formulation of independent method Claim 23 is such that its subject-matters can be read onto the prior art disclosure of Document D2.

Document D2 indeed already describes a method for communicating with a mail centre, comprising running an e-mail application on a receiver/decoder (cf. page 14, line 33-page 15, line 36).

As this document identically discloses all the features of independent claim 23 the subject-matter of this claim lacks novelty and claim 23 does not meet the requirements of Article 33(2) on the PCT.

- 3. Independent claims 24, 38 and 39 contain respectively the same features as, respectively claims 1, 19 and 22 in terms of apparatus claims and hence do not meet the requirements of Article 33(2) PCT for the same reasons.
- 4. Independent claims 41 and 46 contain respectively the features as, respectively claims 1 and 19 in terms of receiver/decoder claims and hence do not meet the requirements of Article 33(2) PCT for the same reasons.
- 5. The dependent claims 2 to 18, 20 to 21, 25 to 37, 40, 42 to 45, 47 to 50 do not seem to contain any subject-matter which, in combination with the subject on the claim on which they depent, would lead to a claim involving inventive activity (Article 33(3) of the PCT).

Their features are either directly derivable from the above-cited documents or concern simple embodiments without inventive merits in themselves.

### VII. Certain defects in the international application

- 1. The independent claims are not properly cast in the two-part form, with those features which in combination are part of the prior art (see document D1-D2), being placed in the preamble (see Rule 6.3(b) PCT).
- 2. Documents D1 and D2 are not identified in the description and the relevant

**EXAMINATION REPORT - SEPARATE SHEET** 

background art disclosed therein is not briefly discussed (see Rule 5.1(a)(ii) PCT).

3. Reference signs in parentheses are not inserted in the claims to increase their intelligibility, Rule 6.2(b) PCT. This applies to both the preamble and characterising portion.

#### VIII. Certain observations on the international application

- 1. The various definitions of the invention given in independent method claims 1, 19, 22, 23, and 49, in independent system claims 24, 38, 39 and 50, and in independent receiver/decoder claims 41 and 46 are such that the claims as a whole are not clear and concise, contrary to Article 6 PCT.
- 2. Method Claim 49 and apparatus claim 50 do not meet the requirements of Article 6 PCT for lack of clarity. Indeed, the claims must not, in respect with the technical features of the invention, rely on references to the description or drawings (cf. Rule 6.2(a); Guidelines PCT III-4.11).